

# IACUC ELECTRONIC PORTAL PRINCIPAL INVESTIGATOR USER GUIDE

#### Contents

Access to the IACUC system
Nominate a Delegate to draft your IACUC protocols
Create your IACUC Protocol4
Complete your IACUC protocol
Navigate Between Sections5
Mandatory Sections and Mandatory Fields6
Mandatory Sections
Mandatory fields6
Add Repeating Items6
Add Research Team Members7
Submit and Sign your IACUC Protocol8
Respond to IACUC Comments10
Notice of Approval12
View all your IACUC Protocols13
Continuing Review Report15
What is a Continuing Review Report?15
When to submit a Continuing Review Report?15
Who can submit a Continuing Review Report?15
How to submit a Continuing Review Report?15
View all your Continuing Review Reports17
Amend my approved protocol18



# Access to the IACUC system

- 1. Email <u>iacuc@kaust.edu.sa</u> to request Portal access.
- 2. Research Compliance (RC) will provide confirmation that your account has been activated.
- Log into the IACUC Portal with your KAUST credentials.
   NOTE: when outside of the KAUST network, you are required to be on KAUST VPN to access the IACUC Portal.





# Nominate a Delegate to draft your IACUC protocols

- 1. To designate a Delegate, send a request email to <u>iacuc@kaust.edu.sa</u> with the nominated delegate email address.
- 2. Only senior staff are recommended to be nominated as a Delegate.
- 3. The Delegate has the ability to
  - o draft a new protocol,
  - $\circ$  see the Committee's comments and edit the protocol during the review process
  - o edit approved protocols to create draft amendments/renewals
  - o clone approved/expired protocols, <u>cannot</u> clone closed protocols
  - view all the PI approved protocols
  - o **<u>cannot</u>** create and manage a Continuing Review Report
- 4. The **PI has the ultimate responsibility in signing and submitting the protocol** to the Committee through the IACUC Portal.



### **Create your IACUC Protocol**

- 1. Log into the <u>IACUC Portal</u> with your KAUST credentials.
- 2. There are three ways to create a new research protocol:
  - a) From the main screen "Action Panel", click on "New Research Protocol":

Actions Panel			de la	
	✓ New Re	search Protocol		
	E Researc	ch Protocols List		
	🗮 Assigned Res	search Protocols List		
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b) From the Research Protocol List. Click on "+ Create New Research Protocol"

#### **Research Protocols List**

Totocon Humber		Project Name		Cycle	_	Status	
Expire In		NCBE Reportable		All	Ť	All	
Select	*	All	٠				
<b>T</b> Search <b>C</b> Clear	Filters						
+ Create New Researc	th Protocol					Export Protocol	s List to Exce

c) Click on the Principal Investigator dropdown menu, then select 'New Research Protocol':



**NOTE:** The system is autosaving regularly. If you don't submit the protocol, you can access it at a later stage the same ways described above to update and/or submit it.

# **Complete your IACUC protocol**

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Once completing your protocol, see how to:

- Navigate Between Sections
- <u>Mandatory Sections and Mandatory Fields</u>
- Add Repeating Items (i.e. Research Team, Summary Of Animal Use)
- Add Research Team Members
- Submit and Sign your IACUC Protocol

#### **Navigate Between Sections**

• To navigate between sections, use the navigation pane on the left side or the "next" and "previous" buttons at the bottom of each section.

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- The system **auto-saves content** of each page.
- You will see an exclamation mark sign in the sections where information needs to be completed. You will not be able to submit the protocol until this information have been entered.
- If you leave a protocol without submitting, you can find it as a draft when selecting "new research protocol". You can discard it by clicking on "Discard Changes".
   NOTE: you cannot create several drafts at the same time in the Portal.



#### **Mandatory Sections and Mandatory Fields**

#### Mandatory Sections

The protocol cannot be submitted without completing the following sections:

- ✓ Contact Information
- ✓ Section 1: Research Description
- ✓ Section 2: Research Team
- ✓ Section 3: Funding Source
- ✓ Section 4: Research Use Classification
- ✓ Certifications and Signature

#### Mandatory fields

• Mandatory fields are indicated with a red "\*" asterisk sign and the protocol cannot be submitted with unanswered mandatory fields.

#### Add Repeating Items

- In some sections of the protocol, you will be able to add multiple items (i.e. Research Team, Summary Of Animal Use).
- To create a new item, you must:
  - 1. Click the ADD button,
  - 2. Enter the required data
  - 3. Click SAVE.

Please provide at least 1 Summary Of Animal Use.	
+ Add Summary Of Animal Use	
Summary Of Animal Use	
Section 9: Summary Of Animal Use	
Prese provide at least 1 Summary Of Animal Use.     Stard on the number of animals described in the experimental design worksheet, provide the total number of animals per species:	
For field studies:         When the target species is unknown, enter general species descriptors such as "reef fish",         For survey collection estimate the number of animals based on prior studies (include non-target species),         Species (Common and Scientific Name)	9.2 Number of ani
For field studies:	9.2 Number of ania
For field studies:	9.2 Number of ani 9.4 CITES Species 0. Yes 0. No
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#### Add Research Team Members

- By default the PI and the alternate contact are listed here, and you have to complete the 2.4, 2.5, and 2.6 fields for each.
- You add a team member by entering their KAUST ID; the name will be auto-populated.
- You must complete the following for each team member:
  - o 2.4 Role
  - 2.5 Summary of experience with animal studies
  - o 2.6 Responsibilities in this project

Add Team Member	
List ALL personnel, including the Principal Investigator, who will work on this proposed research project handling live animals. Staff working with post-mortem tissues only, and ARCL/	CMR Staff should not be included.
All personel istad blow have to conclete the health samellance program http://www.tout.edu.co/IACU/Chabnision.tex/     ACU/C overses training for ladivabat working with winnak used for research, teaching or testing at XAUCT for more information on the required training, go to http://research.org/faces.lou.t.edu.co/IACU/Chabnision.tex/	
2.1 First Name *	22 Latt Name *
2.3 KAUST ID *	2.4 Role *
Search by KAUST ID	Select *
2.5 Summary of experience with animal studies "	
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e d years with mount cacer models) 24 Responsibilities in this project. Provide example, please refer to project description within context of experiments *	1 HORSE POINTS & THY A
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**NOTE:** this item can be repeated as many times as needed to include all the members of your research team.



# Submit and Sign your IACUC Protocol

- Once you have added all the information in your IACUC protocol, go to the **Certification** and **Signature** section to submit the protocol.
- Clicking on the **"Submit"** button <u>is considered as **your signature**</u>. A pop-up window will appear to confirm the protocol submission.

Apps	iacuc.kaust.edu.sa says	III Reading list
蝝 IACUC 🛛 PI 👻 Team Member 👻	This Will Be Considered As Your Digital Signature, Are You Sure You Want To Proceed?	🔺 A 🗛 Name 🖌
Search Q New	N Applic	Discard Changes Last Auto Saved At January 18, 2022 11:28 AM
Contact Information	sto: Sertians 1, 2, 8, 4 are required	
Section 1: Research Description		
Section 2: Research Team	ifications and Signature	
Section 3: Funding Source As the Section 4: Research Use guideli Classification	Principal Investigator of this research, I certify that the information in this applicat nes and regulations pertaining to this research. Specifically, I agree to abide by the	ion is accurate and complete. I agree to abide by all following requirements:
Section 5: Invertebrate Experimental I certif Design adhere	/ that I am responsible for and will assure adequate supervision of all research pers to the animal procedures approved by the IACUC as well as to the IACUC policies.	sonnel involved in this application and will ensure that all
Certifications and Signature I certif Expose	/ that all individuals working on this application, who have contact with live animals re program.	s, are enrolled in the KAUST Occupational Animal
l certif	r that I have determined that the research proposed herein is not unnecessarily du	plicative of previously reported research.
l certif cause	r that I have reviewed the pertinent scientific literature and have found no valid alt nore than momentary pain or distress, whether it is relieved or not.	ternative to any procedures described herein which may
l confi	m that I've been consulting with a lab animal veterinarian in preparing this protoco	ы.
l will o	stain approval from the IACUC before initiating any alterations in this application.	
l certif	/ that I am responsible for the storage and backup of the data collected, including a	all procedures (e.g. euthanasia) performed on animals.
✓ A fa	; the Principal Investigator of this research, I certify that the information contained miliar with and agree to abide by all guidelines and regulations pertaining to this re quirements	d in this application is accurate and complete. I am search. Specifically, I agree to abide by the above
	C Submit	
< P	revious	

• To submit a draft sent by your delegate: from your research protocol list, select "edit" in the "Actions" dropdown menu of the draft.

+ Create New Research Protocol List to Excel									
Protocol Number 1	Version	Project Name	Status	Туре	Submission Date	Action(s)			
-	-	Protocol Draft Title	Draft	New		Actions -			
22IBECXXX	2	Title	With admin (C)	Amendment	September 08, 2022	Actions -			
22IBECXXX	2	Title	Submitted	Renewal	October 02, 2022	Actions -			

• Then you can review, edit, and submit the protocol as described before.



• You can send back the protocol to your delegate for revision by clicking "Send to Plechnology Delegate" on the top right side.

Draft F	Proto	co						 		g s	end	IO PI	Del	ega	te	Last Auto Saved A
	Send prot	tocol f	o PI	Dele	gate								4		×	
_	Please Write	Your C	omme	nt to ti	he PI I	Deleg	ate									
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• The PI has the ultimate responsibility in signing and submitting the protocol in the Portal. Delegates can only send a draft to the PI for final submission.



# **Respond to IACUC Comments**

During the review of your protocol, the IACUC Members and/or Research Compliance may raise some questions to clarify details within the protocol. These comments can be addressed by either the PI or the Delegate.

 You will receive an automatic reply from <u>no-reply@kaust.edu.sa</u> informing you have comments awaiting to be reviewed.
 \*\*Make sure this email address does not go to your Spam inbox so important

\*\*Make sure this email address does not go to your Spam inbox so important notifications are not missed. \*\*

- 2. Log in into the <u>IACUC system</u> with your KAUST credentials.
- 3. Go to your protocol: In the pending tab of the "Research Protocol List" select "View" from the "Action" dropdown to see the protocol and comments only and select "Edit" to view the comments and edit the protocol prior resubmission.
- 4. On the left menu bar, you will see a comment sign to highlight the section where comments have been raised and need your review.



5. Your responses will need to be added directly into the application by editing your protocol.

**NOTE**: The system should save the changes until the protocol is resubmitted, but we recommend you do all the changes in one session only.

- 6. Once you edited your protocol, go to the 'Submit PI Comments' section at the top of the left-hand side tab.
- 7. There you can add any other information you would like to share with RC and/or the Committee. Then click 'Submit'.
- 8. <u>Only PIs can submit a protocol.</u> Clicking on the **"Submit"** button <u>is considered as your</u> <u>signature</u>. A pop-up window will appear to confirm the protocol submission.



Submit PI Comments			
Contact Information			
Section 1: Research on Court (1)	Note: Sections 1.2.3 & 4 are required		
Section 2: Research Team	-		
Section 3: Funding Source	Protocol Details		
Section 4: Research Use Classification	Flotocol Details		
Section 5: Invertebrate Experimental Design	Protocol Number 22IACUC006	Version 1	Project Name C
Section 6: Observational Study Experimental Design	Submission Date	Current Cycle	Current Status
Section 25: Field Research	September 21, 2022 12:23 PM	Circulation	With PI (C)
History			
	Submit PI Comments		
	Please Write Your Comment		
	Format ▼ B I U ■ ■ ■ != != !	I ↔ 🖬 🗮	



# **Notice of Approval**

- Once IACUC approves a protocol, you will receive an email notification from <u>no-reply@kaust.edu.sa</u> with a Notice of Approval attached.
   \*\*Make sure this email address does not go to your Spam inbox so important notifications are not missed. \*\*
- If a protocol is **'Approved with stipulations'**, the stipulations are visible in the email notification and the Notice of Approval.
- You have the ability to request the approval email notification to be <u>resent at any later</u> <u>time</u> by clicking "resend the approval email" from the "Action" dropdown menu of the protocol.



# **View all your IACUC Protocols**

- Log in into the <u>IACUC system</u> with your KAUST credentials.
- Click on the 'Research Protocol List' from the "Action Panel" or the PI dropdown menu.
- In this table, all continuing review reports will be visible in two separates tabs:
  - > **Pending**: List of all draft, submitted and under review protocols
  - > Approved: List of all approved, expired or archived protocols



- You can use the search tool at the top of the page to filter or sort the protocols.
- Clicking on the header of each columns of the table allows you to sort the protocols (descending/ascending)
- Your protocol list can also be exported to Excel.

+ Create New Rese	arch Protocol		$\langle$	Export Protocol	s List to Excel
Protocol Number 1	Project Name	Status	Expiry Date	Is NCBE Reportable	Action(s)
22IACUC001	Test 1	Approved	November 17, 2024	Yes	Actions -
22IACUC002	Test 2	Submitted		Yes	Actions -
22IACUC003	Test 3	Approved	October 23, 2023	Yes	Actions -

• For each protocol, clicking on the Action button gives you access to the following options:

Actions -	Function	Pending Protocols not with Committee	Approved Protocols	Draft created by Delegate
View	Allow the view/edit of the protocol			
Edit	Allow the edition of an approved protocol to submit an amendment		5	
Clone	Creates a copy of the protocol that can be edited	<b>\$</b>		<b>\$</b>
Renew	Allow the edition of an approved protocol to submit a renewal	<b>5</b>	6	<b>9</b>



Resend the approval email	Resend the email with the Notice of Approval	<b>\$</b>	\$	King Abdullah University of Science and Technology
Export to PDF	Export a pdf copy of the protocol	5	5	\$
Print	Print a copy of the protocol	5	6	\$
History	Display previous versions of the protocol	5	\$	\$



### **Continuing Review Report**

#### What is a Continuing Review Report?

The National Committee for Bioethics (NCBE) requires the review of research progress at least once a year. This is 'to ascertain safety' and 'to ensure there is no reason for discontinuation or modification of the research.'

#### When to submit a Continuing Review Report?

You will receive a notification email from <u>no-reply@kaust.edu.sa</u> when a continuing review report is due.

\*\*Make sure this email address does not go to your Spam inbox so important notifications not are missed.\*\*

#### Who can submit a Continuing Review Report?

- The PI is the only one able to start, complete, and submit a Continuing Review Report.
- Delegates cannot view or create a continuing review report.

#### How to submit a Continuing Review Report?

- Log in into the <u>IACUC system</u> with your KAUST credentials.
- Click on **'New Continuing Review'** from the "Action Panel" or the PI dropdown menu.
- Select the protocol reference number from the top dropdown.
- A 'Continuing Review Report' will be generated. You will be able to disclose any changes in your project (study design, procedures or personnel), or any adverse events.
- When changes are disclosed, you **must** edit and submit an amendment to your protocol.



Continuing Review	w Report	17
Section 1	Select the identification Number complete the Continuing Review	of the protocol for which you would like to Report
IACUC Identification Number	Project Title	
22IACUC003	IACUC Protocol Title	
Is your research project still going? • Yes No Section 2		
Was there any change in this projec       Yes     No       Have you added or removed staff w       Yes     No       Please create a new form with the	t that you have not reported to the IACUC? hich have not been reported to the IACUC? e form changes (and/or) staff changes from <u>Here</u>	If any of these questions are answered "Yes", you have to complete an amendment to the protocol following the link that appears below
Section 3 Was there any adverse or unanticip	ated consequences of the research?	
By submitting this form, I certify tha KAUST policies and guidelines on bio	t the information I have provided is complete and ological safety and bioethics as issued by IACUC,	correct, to the best of my knowledge. I am familiar with and agree to abide by the provisions of as well as other specific granting agency instructions, pertaining to the proposed project.
I will ensure all personnel under my	supervision have appropriate training to conduct	their research.
I agree that modifications to the orig	sinally approved protocol will not take place with	put prior review and approval by appropriate KAUST committees.

Name, on January 18, 2022

🕼 Submit



# View all your Continuing Review Reports

- Log in into the <u>IACUC system</u> with your KAUST credentials.
- Click on the **'Continuing Review Report List'** from the "Action Panel" or the PI dropdown menu.
- In this table, all continuing review reports will be visible in two separates tabs:
  - > **Pending**: List of all submitted and under review continuing review report
  - > **Approved**: List of all approved, expired or archived continuing review report

#### Continuing Review Reports List

Pending Approved						
Form ID	Prote	ocol Number	Project Name		Due Date	tin .
Cycle All T Search C Clea	statu ▼ - A ar Filters	<b>IS</b>		~	1.23	Jan e
+ New Continuing R	eview Report	Project Name		Export Co	ontinuing Review Re	ports List to Excel
1	22IACUC005	IACUC Protocol Title		January 11, 2023	Submitted	Actions -
₩ 4 1 ► ₩	10 🔻 items per page					1 - 1 of 1 items

• For each Continuing Review Report, clicking on the Action button gives you access to the following option:

Actions -	Function
View View the continuing review report. When With PI, report can be ed	
Export to pdf	Export a pdf copy of the report
Print	Print a copy of your report
History	Display previous versions of your report



# Amend my approved protocol

You are able to amend your protocol once it has been approved.

- 1. Log in into the <u>IACUC system</u> with your KAUST credentials.
- 2. Click on the 'Research Protocol List' from the "Action Panel" or the PI dropdown menu.
- 3. Go to the "**Approved**" Tab.
- 4. Click the option for "Edit" from the "Actions" dropdown menu of the protocol you want to amend. This will open your protocol in editing mode.

Protocol Number			Version		Project Name	e	Status	
							Approved*	
xpire In			NCBE Reportable					
Select		*	All	*				
		215					Dis	vnort Protocols List to Ev
Protocol Number	Version	Project Name		Status	Туре	Expiry Date	Is NCBE Reportable	xport Protocols List to Ex Action(s)
Protocol Number	Version 3	Project Name		Status Approved	Type Renewal	Expiry Date January 01, 2023	Is NCBE Reportable Yes	xport Protocols List to Ex Action(s) Actions
Protocol Number	Version 3 2	Project Name		Status Approved Approved	Type Renewal Renewal	Expiry Date January 01, 2023 October 27, 2024	Is NCBE Reportable Yes Yes	xport Protocols List to Ex Action(s) Actions Edit
Protocol Number	Version 3 2	Project Name		Status Approved Approved Approved	Type Renewal Renewal New	Expiry Date       January 01, 2023       October 27, 2024       December 27, 2022	Is NCBE Reportable Yes Yes Yes	Actions
Protocol Number	Version 3 2	Project Name		Status Approved Approved	Type Renewal Renewal	Expiry Date January 01, 2023 October 27, 2024	E EX Is NCBE Reportable Yes Yes	xport Protocols List to Action Edit Clone Becary
Protocol Number	Version 3 2 1	Project Name		Status Approved Approved Approved	Type Renewal Renewal New	Expiry Date January 01, 2023 October 27, 2024 December 27, 2022	E Ex Is NCBE Reportable Yes Yes Yes	Action(s) Actions Edit Clone Renew Resend the approval em Event to DDE

 Once you have added all the information in your IACUC protocol, go to Certification and Signature as explained in <u>Submit your IACUC Protocol</u>.
 NOTE: The system should save the changes until the protocol is resubmitted, but we recommend you do all the changes in one session only.



# **PI DELEGATE USER GUIDE**

#### Contents

How to access the IACUC Portal	2
PI Delegate Capabilities	3
Create a draft IACUC Protocol for your PI	4
Complete an IACUC protocol	5
Navigate Between Sections	5
Complete Mandatory Sections and Mandatory Fields	6
Mandatory Sections	6
Mandatory fields	c
Wandatory news	0
Add Repeating Items	6
Add Repeating Items Add Research Team Members	6 7
Add Repeating Items Add Research Team Members Send the draft protocol to your PI	6 7 8
Add Repeating Items Add Research Team Members Send the draft protocol to your PI Respond to IACUC Comments	6 7 8 9
Add Repeating Items Add Research Team Members Send the draft protocol to your PI Respond to IACUC Comments View all your PI's IACUC Protocols	6 7 8 9 1



### How to access the IACUC Portal

- 1. Your PI should email <u>iacuc@kaust.edu.sa</u> to request your access to the IACUC Portal as their delegate.
- 2. Research Compliance (RC) will provide you with confirmation that your account has been activated.
- Log into the IACUC Portal with your KAUST credentials.
   NOTE: when outside of the KAUST network, you are required to be on KAUST VPN to access the IACUC Portal.





# **PI Delegate Capabilities**

- 1. The PI Delegate has the ability to
  - o draft a new protocol,
  - $\circ$   $\:$  see the Committee's comments and edit the protocol during the review process,
  - o edit approved protocols to create draft amendments/renewals,
  - o clone approved/expired protocols, <u>cannot</u> clone closed protocols,
  - view all the PI approved protocols.
- 2. The PI Delegate <u>cannot</u> create or manage a continuing review report.
- 3. The **PI has the ultimate responsibility in signing and submitting the protocol** to the Committee through the IACUC Portal.



# Create a draft IACUC Protocol for your PI

- 1. Log into the <u>IACUC Portal</u> with your KAUST credentials.
- 2. There are three ways to create a new research protocol:
  - a) From the main screen "Action Panel": click on "New Research Protocol":

Actions Panel		A P	
Actions Fanel	<ul> <li>✓ New Research Protocol</li> <li>i Research Protocols List</li> <li>i Assigned Research Protocols List</li> </ul>	List	

b) From the Research Protocol List: click on "+ Create New Research Protocol":

xpire In			All	*	A.II.	
xpire In					All	
	NCBE Reportable					
Select	All	*				
<b>C</b> Clear Filters						
+ Create New Research Protocol					R Export Protoco	ls List to Exce

c) From the PI Delegate dropdown menu, click "New Research Protocol":





## **Complete an IACUC protocol**

- 1. Log in into the <u>IACUC Portal</u> with your KAUST credentials.
- 2. Follow the instructions below to:
  - Navigate Between Sections
  - <u>Complete Mandatory Sections and Mandatory Fields</u>
  - Add Repeating Items (i.e. Research Team, Summary Of Animal Use)
  - Add Research Team Members
  - Send the draft Protocol to your PI

#### **Navigate Between Sections**

• To navigate between sections, use the navigation pane on the left side or the "next" and "previous" buttons at the bottom of each section.

🖉 🗶 🕅	New Application For IACUC Review
Search Q	Discard Changes
Contact Information	Last Auto Saved At January 12, 2022 10:25 AM
Section 1: Research Description	• Note: Sections 1, 2, 3 & 4 are required
Section 2: Research Team	
Section 3: Funding Source	Section 4: Research Use Classification
Section 4: Research Use Classification	NOTE: Your response to this section will determine the completion of subsequent sections
Certifications and Signature	4.1 In the list below, check ALL that apply to your research:  Descrvational Studies  Terrestrial vertebrate studies  4.2 Animal Type  Kat Sea Turtle Rat Sea Turtle Fish Rays and Skates Sharks Birds Advance Cubec
	Previous     Next

- The system **auto-saves content** of each page.
- You will see an exclamation mark sign in the sections where information needs to be completed. You will not be able to submit the protocol until this information has been entered.
- If you leave a protocol without submitting, you can find it as a draft when selecting "new research protocol". You can discard it by clicking on "Discard Changes".
   NOTE: you cannot create several drafts at the same time in the Portal.

New Application For IACUC Review

Discard Changes



#### **Complete Mandatory Sections and Mandatory Fields**

#### **Mandatory Sections**

The protocol cannot be submitted without completing at minimum the following sections:

- ✓ Contact Information
- ✓ Section 1: Research Description
- ✓ Section 2: Research Team
- ✓ Section 3: Funding Source
- ✓ Section 4: Research Use Classification
- ✓ Certifications and Signature

#### Mandatory fields

- Mandatory fields are indicated with a red "\*" asterisk sign and the protocol cannot be submitted with unanswered mandatory fields.
- You will see a red exclamation mark sign in the sections where information needs to be completed. You will not be able to submit the protocol until this information has been entered.

#### Add Repeating Items

- In some sections of the protocol, you will be able to add multiple items (i.e. Research Team member, Summary Of Animal Use, etc).
- To create a new item you must:
  - 1. Click the ADD button,
  - 2. Enter the required data
  - 3. Click **SAVE**.

+ Add Summary Of Animal Use Summary Of Animal Use	
Section 9: Summary Of Animal Use	
Press provide at least 1 Summary Of Adviral Use.     Issed on the number of animals described in the experimental design worksheet, provide the total number of animals per species:         - For field studies:         - For field studies:         - When the target species is unknown, enter general species descriptors such as "reel fish".         - For field studies:         - For field studies:         - When the target species is unknown, enter general species descriptors such as "reel fish".         - For field studies:         - For field studies:         - Tor field studies:         - Tor subscription collection estimate the number of animals based on prior studies (include non-target species).         - For subscription collection estimate the number of animals based on prior studies (include non-target species).	
1 Species (Common and Scientific Name)	9.2 Number of ania
1 Species (Common and Scientific Name)	9.2 Number of ani
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#### Add Research Team Members

- By default, the PI and the alternate contact are listed here, and you have to complete the 2.4, 2.5, and 2.6 fields for each.
- You add a team member by entering their KAUST ID; the name will be auto-populated.
- You must complete the following:
  - o 2.4 Role
  - o 2.5 Summary of experience with animal studies
  - 2.6 Responsibilities in this project

Section 2: Research Team	
Add Team Member	
List ALL personnel, including the Principal Investigator, who will work on this proposed research project handling live animals. Staff working with post-mortem tissues only, and ARCUC	MR Staff should not be included.
All personnel (sted below have to complete the health surveillance program: http://resarch.compliance.isuut.edu.us/ACUC/subnission.html	
<ul> <li>WOUC oversess training for individuals working with animals used for research, teaching or testing at KAUST. For more information on the required training, po to http://research.org/lance.laust.edu.ca.WOUC training html</li> </ul>	
2.1 First Name *	22 Last Name *
23¥AIKTIN*	24.844
Zanviosi IIV	24 Role
	Select
2.5 Summary of experience with animal studies "	
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i & fyers with moust accor motel) 24 Responsibilities in this project. Provide example, please refer to project description within context of experiments.* fry c² / Responsibilities in this project. Provide example, please refer to project description within context of experiments.*	Sandog Hendog er tav

**NOTE:** this item can be repeated as many times as needed to include all the members of your research team.



### Send the draft protocol to your PI

- Once you have added all the information in your IACUC protocol, go to the **Certification** and **Signature** section.
- You can send the draft protocol to your PI for review, but <u>only PIs can submit a protocol</u> to the IACUC.





# **Respond to IACUC Comments**

During the review of your Protocol, the IACUC Members and/or Research Compliance may raise some questions to clarify details within your protocol. These comments can be addressed by either the PI or the PI Delegate.

- You will receive an automatic reply from <u>no-reply@kaust.edu.sa</u> informing you have comments awaiting to be reviewed.
   \*\*Make sure this email address does not go to your Spam inbox so important notifications are not missed. \*\*
- 2. Log in into the IACUC system with your KAUST credentials.
- 3. Go to your protocol: From the "Research Protocol List" select "View" from the "Actions" dropdown to see the protocol and comments only, and select "Edit" to view the comments and edit the protocol prior resubmission.
- 4. On the left menu bar, you will see a comment sign to highlight the section where comments have been raised and need your review.



5. Your responses will need to be added directly into the application by editing your protocol.

**NOTE**: The system should save the changes until the protocol is resubmitted, but we recommend you do all the changes in one session only.

- 6. Once you edited the protocol, go to the 'Submit PI Comments' tab at the top of the lefthand side menu.
- There you can add any other information you would like to share with your PI, RC and/or the Committee. Then click 'Submit'. A pop-up window will appear to confirm the protocol submission. This will go to your PI as a draft.



_		2000 11	
ubmit PI Comments			
Contact Information			
lection 1: Kesew on Jooo groon(1)	Note: Sections 1, 2, 3 & 4 are required		
iection 2: Research Team			
iection 3: Funding Source	Protocol Details		
iection 4: Research Use Classification	Trotocorbetans		
ection 5: Invertebrate Experimental	Protocol Number	Version	Project Name
lesign	22IACUC006	1	6
ection 6: Observational Study xperimental Design	Submission Date	Current Cycle	Current Status
ection 25: Field Research	September 21, 2022 12:23 PM	Circulation	With PI (C)
listory			
	Application Submission Pre-review PI Revision Review	PRevision Approval Approval Expired Closed	
	Please Write Your Comment		
	Format 🔻 B I U 🗉 🖩 🗄 🖽	•• 🛛 🗒	

8. **Only PIs can submit a protocol.** The PI has the ultimate responsibility in reviewing the changes and submitting the protocol to the Committee through the IACUC Portal.



# View all your PI's IACUC Protocols

- Log in into the <u>IACUC system</u> with your KAUST credentials.
- Click on 'Research Protocol List' in the Action Panel or the PI Delegate dropdown menu.
- Here you can see all drafts, pending, approved, and closed protocols.
- You can use the <u>search tool</u> at the top of the page to filter or sort the protocols.
- Clicking on the header of each columns of the table allows you to sort the protocols (descending/ascending)
- The protocol list can be <u>exported to Excel.</u>

+ Create New Rese	eate New Research Protocol				
Protocol Number 1	Project Name	Status	Expiry Date	Is NCBE Reportable	Action(s)
22IACUC001	Test 1	Approved	November 17, 2024	Yes	Actions -
221ACUC002	Test 2	Submitted	-	Yes	Actions -
22IACUC003	Test 3	Approved	October 23, 2023	Yes	Actions -

• If you click on the "Actions" button, you will have access to the following functions depending on the status:

Actions -	Function
View	Allow the view of the protocol
Edit	Allow the edition of a protocol (to be used on approved protocol to create amendment)
Clone	Creates a copy of the protocol that can be edited and submitted as a new one
Renew	Allow the edition of an approved protocol to submit a renewal
Export to PDF	Export a pdf copy of the protocol
Print	Print a copy of the protocol



### Amend an approved protocol

You are able to amend a protocol once it has been approved.

- 1. Log in into the <u>IACUC system</u> with your KAUST credentials.
- 2. Click on the '**Research Protocol List**' from the "Action Panel" or the PI Delegate dropdown menu.
- 3. Click the option for "Edit" from the "Actions" dropdown menu of the protocol you want to amend. This will open your protocol in editing mode

Export Protocols List to Excel										
Action(s)	Is NCBE Reportable	Expiry Date	Туре	Status	Project Name	Version	Protocol Number			
Actions	Yes	January 01, 2023	Renewal	Approved		3				
it	Yes	October 27, 2024	Renewal	Approved		2				
new	Yes R	December 27, 2022	New	Approved		1				
port to PDF	Yes	September 10, 2023	New	Approved		1				
story	Yes	September 05, 2024	New	Approved		1				

 Once you have added all the information in the protocol, go to Certification and Signature as explained in "Send the IACUC Protocol Draft to your PI".
 NOTE: The system should save the changes until the protocol is sent to your PI, but we recommend you do all the changes in one session only.